

WORKSHEET 5—SECURITIES TRANSFER RECORD

Estate of _____

Name of Company & Description of Security to Be Transferred	When all are checked, security is ready for sale or transfer								Old Cert. Sent	New Cert. Rec'd	New Cert. Del'd	New Acc't
	Death Cert.	W-9	Letters	Prob. Doc.	Aff.	Cert.	Power	Sig. G'td				

Death Cert. = Certified copy of death certificate. W-9 = IRS Form W-9. Letters = Letters testamentary or letters of administration. Prob. Doc. = Certified copy of required probate documents. Aff. = Affidavit of Domicile (Form 26). Power = Stock Power (Form 24) or Bond Power (Form 25). Sig. G'td = Signature guaranteed. Old Cert. Sent = Certificates sent for transfer. New Cert. Rec'd = Receipt of securities properly transferred. New Cert. Del'd = Securities delivered to transferee. New Acc't = D's account transferred to beneficiary.